JAN 1 1 2002

PTO/SB/21/(08-00)

Approved for use through 10/31/2002. OMB 0/551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Redection Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

15

TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/894,984 **Filing Date** June 28, 2001 First Named Inventor Stephen D. Ainsworth Group Art Unit 3738 TBD **Examiner Name** ACS-55940 Attorney Docket Number

| | | ENCL | OSURES (| check all | that apply) |
|---|---|--|---------------------------------|---|---|
| X Fee Transmittal Fon | m | | nent Papers Application) | | After Allowance Communication to Group |
| X Fee Attached | | Drawing | (s) | | Appeal Communication to Board of Appeals and Interferences |
| Amendment / Reply | | Licensin | g-related Papers | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| After Final | | Petition | 4n Comundên a | | Proprietary Information |
| Affidavits/declaration(s) | | Provisio | to Convert to a nal Application | | Status Letter |
| Extension of Time Request | | Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer | lon E | X Other Enclosure(s) (please identify below): | |
| Express Abandonment Request | | | t for Refund | | Postcard |
| Information Disclosure Statement | | CD, Nu | mber of CD(s) | | |
| Certified Copy of Priority Document(s) | | Remarks | | | |
| X Response to Missing Parts/ Incomplete Application | | 24201 | | | |
| Response to Missing Parts Under 37 CFR 1.52 or 1.53 | | PATENT TRADEMARK OFFICE | | | |
| - under 51 Of IC 1.32 Of 1.33 | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | |
| Firm | | | | | |
| or Individual name John V. Hanley, FULWIDER PATTON LEE & UTECHT, LLP | | | | | |
| Signature Jr. V. H. | | | | | |
| Date October 17, 2001 | | | | | |
| CERTIFICATE OF MAILING | | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 10/17/2001

John V. Hanley

Typed or printed name

Signature

10/17/2001

Date

PTO/SB/17 (10-01) Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| (\$) | 880 | 0 | 0 |
|------|-----|---|---|
| | | | |

| Complete if Known | | | | |
|----------------------|----------------------|--|--|--|
| Application Number | 09/894,984 | | | |
| Filing Date | 6/28/2001 | | | |
| First Named Inventor | Stephen D. Ainsworth | | | |
| Examiner Name | TBD | | | |
| Group Art Unit | 3738 | | | |
| Attomey Docket No. | ACS-55940 | | | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | | |
|---|--|----------|--|--|--|--|
| 1. X The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to: | 3. ADDITIONAL FEES | | | | | |
| indicated fees and credit any overpayments to: | Large Small | | | | | |
| Account Number 06-2425 | Entity Entity Fee Fee Fee Fee Fee Fee Description | Fee Paid | | | | |
| Deposit | Code (\$) Code (\$) | | | | | |
| Account Name Fulwider Patton et al. | 105 130 205 65 Surcharge - late filing fee or oath | 130. | | | | |
| Character Additional Fee Beautical | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | | | | | |
| Under 37 CFR 1.16 and 1.17 | | | | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | 139 130 139 130 Non-English specification | | | | | |
| 2. X Payment Enclosed: | 147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination 112 920* 112 920* Requesting publication of SIR prior to | | | | | |
| Check Credit card Money Cother | Examiner action | | | | | |
| FEE CALCULATION | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | | | | | |
| 1. BASIC FILING FEE | 115 110 215 55 Extension for reply within first month | | | | | |
| Large Entity Small Entity | 116 400 216 200 Extension for reply within second month | | | | | |
| Fee Fee Fee Fee Description | 117 920 217 460 Extension for reply within third month | | | | | |
| 5555 (V) 5555 (V) | 118 1,440 218 720 Extension for reply within fourth month | | | | | |
| 101 740 201 370 Utility filing fee 710. | 128 1,960 228 980 Extension for reply within fifth month | | | | | |
| 107 510 207 255 Plant filing fee | 119 320 219 160 Notice of Appeal | | | | | |
| 108 740 208 370 Reissue filing fee | 120 320 220 160 Filing a brief in support of an appeal | | | | | |
| 114 160 214 80 Provisional filing fee | 121 280 221 140 Request for oral hearing | | | | | |
| · | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | | | |
| SUBTOTAL (1) (\$) 710. | 140 110 240 55 Petition to revive - unavoidable | | | | | |
| 2. EXTRA CLAIM FEES Fee from | 141 1,280 241 640 Petition to revive - unintentional | | | | | |
| Extra Claims below Fee Paid | 142 1,280 242 640 Utility issue fee (or reissue) | | | | | |
| Total Claims20** = X = | 143 460 243 230 Design issue fee | | | | | |
| Independent Claims - 3** = X = = | 144 620 244 310 Plant issue fee . | | | | | |
| Multiple Dependent | 122 130 122 130 Petitions to the Commissioner | | | | | |
| | 123 50 123 50 Processing fee under 37 CFR 1.17(q) | | | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 126 180 126 180 Submission of Information Disclosure Stmt | | | | | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 581 40 Recording each patent assignment per property (times number of properties) | 40. | | | | |
| 102 84 202 42 Independent claims in excess of 3 | 146 740 246 370 Filling a submission after final rejection | | | | | |
| 104 280 204 140 Multiple dependent claim, if not paid | (37 ČFR § 1.129(a)) | | | | | |
| 109 84 209 42 ** Reissue independent claims over original patent | 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) | | | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 | 179 740 279 370 Request for Continued Examination (RCE) | | | | | |
| and over original patent | 169 900 169 900 Request for expedited examination of a design application | | | | | |
| SUBTOTAL (2) (\$) | Other fee (specify) | | | | | |
| **or number previously paid, if greater; For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170. | .00 | | | | |

| SUBMITTED BY | UBMITTED BY Complete (if applicable) | | | | applicable) |
|-------------------|--------------------------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | John V. Hanley | Registration No. (Attorney/Agent) | 38,171 | Telephone | 310-824-5555 |
| Signature | Jos v. Hz | | _ | Date | 10/17/2001 |

WARNING: Information on this form may become public. Credit card information should not